08-06-07

PTO/SB/21 (04-07) Approved for use through 09/30/2007. OMB 0651-0031

Under the Pa	perwork R	eduction Act of 1995,	no persons	s are required to respond to a	colle	ction of info	ormation ur	less it di	splays a valid O	MB control number.
DIFE				Application Number		10/588,	646		-	
TR	RANS	MITTAL		Filing Date		August	7, 2006			
ريو 2007 g O 3 UG O	FO	RM		First Named Inventor		Bao-Jia	n Li et a	al.		
.\$\)				Art Unit		Not Yet	Assign	ed		•
a IRANG De used for	all corresp	ondence after initial fi	ling)	Examiner Name		Not Yet	Assign	ed		
ľ		This Submission	7	Attorney Docket Number	er	39387-0	045 US	NAT'L	. (INTM/020	D) _
		-	ENCI	LOSURES (Check	ali ti	hat apply)	)			
Fee Tran	nsmittal Fo	om		Drawing(s)				After All	owance Com	munication to TC
F	Fee Attac	hed	ı	Licensing-related Papers					Communication	
Amendm	nent/Reply	,		Petition				Appeal (	Communication	on to TC
	After Final			Petition to Convert to a Provisional Application					Notice, Brief, R ary Informatio	
_ <i>^</i>	Affidavits/	declaration(s)	_ (	Power of Attorney, Revoca Change of Correspondence				Status L	·	•
Extension	n of Time	Request		Terminal Disclaimer			$\overline{\boxtimes}$	Other E	nclosure(s) (p	lease Identify
Extension of Time Request  Express Abandonment Request  Information Disclosure Statement			Request for Refund				below): 1) Form PTO/SB/08a;		08a;	
	ion Disclo	sure Statement		CD, Number of CD(s)					ted referend urn Receipt	
				Landscape Table or	n CD	ĺ	,	o) Neu	um Neceip	i Posicaru.
Certified Documer	Copy of F	Priority ·	Remai							• • •
	Missing F	Parts/	The Dir	rector is hereby authori ction with filing of these	ized	to charg	e payme	ent of a	ny fees requ	ired in
	ete Applica			5-0020. A duplicate co						, Older 140.
		lissing Parts CFR 1.52 or 1.53								
		SIGNAT	URE O	F APPLICANT, ATT	OR	NEY, O	R AGE	NT		
Firm Name	Fish &	Neave IP Grou	ıp Rope	es & Gray LLP		<del></del>			·	
Signature	Las	un Many								···-
Printed name	Karen	Mangasarian								
Date	Augus	t 3, 2007			Re	eg. No.	43,772			
				MAIL (EXPRESS M						
ADDRESSEE" se	ervice und	orrespondence is l ler 37 C.F.R. §1.10 exandria, VA 2231	on the c	posited with the United State indicated above and	tates is ac	Postal S ddressed t	ervice "E to Mail S	XPRES top: Am	S MAIL POS endment, Cor	T OFFICE TO nmissioner for
Signature	,	(-	tose	MDiagras					****	
Typed or printed r	name			WARIE DHANRAJ	)		C	Date A	ugust 3, 20	007 .
		<del></del>								

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bao-Jian Li, et al.

Application No. : 10/588,646

Filed : August 7, 2006

For : METHODS AND COMPOSITIONS FOR

COMBINATION RNAI THERAPEUTICS

Examiner : Not Yet Assigned

Group Art Unit : Not Yet Assigned

New York, New York August 3, 2007

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make of record the documents listed in the accompanying PTO/SB/08A form. Copies of the documents are enclosed herewith.

Applicants request that the cited document be (1) fully considered by the Examiner during the course of examination of this application, and (2) printed on any patent issuing from this application. Additionally, applicants request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

The documents listed in the attached Form PTO/SB/08A were cited in the July 5, 2007 Supplementary Partial European Search Report in European Application No. EP05713043 -- the European counterpart of the present application.

This Statement is submitted before the mailing of the first Office Action on the merits. In accordance with 37 C.F.R. § 1.97 (b)(3), no fee is due in connection with this Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of the fee to Deposit Account No. 06-1075, Order No. 104825-0020. A duplicate copy of this Statement is enclosed.

Respectfully submitted,

Jane T. Gunnison (Reg. No. 38,479)

Karen Mangasarian (Reg. No. 43,772)

Attorneys under 37 CFR §1.34

c/o FISH & NEAVE IP GROUP

ROPES & GRAY LLP

1211 Avenue of the Americas

New York, New York 10036

Tel.: (212) 596-9000 Fax: (212) 596-9090



PTO/SB/08a (04-07)

Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Apt of 1995 no Persons are required to respond to a collection of information unless it contains a valid OMR control number

Substitute for form 1449A/PTO  INFORMATION DISCLE			Co	mplete if Known	
l				Application Number	10/588,646
				Filing Date	August 7, 2006
S1	STATEMENT BY APPLICANT		First Named Inventor	Bao-Jian Li et al.	
				Art Unit	Not Yet Assigned
	(Use as many sheets as necessary)		Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	39387-045 US NAT'L (INTM/020)

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		

			FOREIGN PAT	ENT DOCUMENTS		
		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
	Country Code <sup>3</sup> Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>®</sup>	
		WO 03/106630	12-24-2003	Ambion Inc.		
		WO 05/019410	03-03-2005	Intradigm Corp.		

		NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Initials No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (boo magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		HAASNOOT, P, et al., "Inhibition of virus replication by RNA interference," <i>Journal of Biomedical Science</i> , 10:607-616 (2003).			
		HE, M-L, et al., "Inhibition of SARS-associated coronavirus infection and replication by RNA interference," <i>The Journal of the American Medical Association</i> , 290:2665-2666 (2003).			
		JI, J, et al., "Enhanced gene silencing by the application of multiple specific small interfering RNAs," FEBS Letters, 552:247-252 (2003).			
		LEE, N, et al., "Expression of small interfering RNAs targeted against HIV-1 rev transcripts in human cells," <i>Nature Biotechnology</i> , 19:500-505 (2002).			
		YU, J-Y, et al., "Simultaneous inhibition of GSK3alpha and GSK3beta using hairpin siRNA expression vectors," <i>The Journal of the American Society of Gene Therapy</i> , 7:228-236 (2003).			
		ZHANG, R, et al., "Inhibiting severe acute respiratory syndrome-associated coronavirus by small interfering RNA," <i>Chinese Medical Journal/Zhonghua Yixue Zazhi Yingwen Ban</i> , 116:1262-1264.			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.